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## **Introduction**

This appendix describes the methods and equations that the Surface Transportation Board's (Board's) Office of Environmental Analysis (OEA) used to estimate and analyze the potential effects of noise and vibration from construction and operation of the proposed rail line.

For the noise analysis, OEA evaluated whether the construction and operation of the proposed rail line would result in a 3 A-weighted decibel (dBA) <sup>1</sup> or greater increase in noise levels and whether railroad noise levels (due to wayside noise and locomotive warning horn) would equal or exceed a 65 day-night average noise level (DNL), <sup>2</sup> consistent with the Board's environmental regulations at 49 Code of Federal Regulations (C.F.R.) § 1105.7e(6). OEA also assessed whether vibration from construction and operation of the proposed rail line would cause impacts.

If the estimated increased noise level at a location exceeded either of the thresholds for noise, OEA identified (using aerial photographs) and counted the number of affected noise-sensitive receptors (such as residences, schools, libraries, retirement communities, churches, and nursing homes) and quantified the noise increase. OEA implemented the thresholds separately to determine an upper bound of the area of potential noise impact. Noise research indicates that both thresholds must be met or exceeded to cause an adverse noise impact (Board 1998a; Coate 1999). That is, noise levels would have to be equal to or greater than 65 DNL and increase by 3 dBA or more to result in an adverse noise impact. OEA used the Computer-Aided Noise Abatement (CadnaA®), an internationally accepted environmental noise computer program, and wayside and horn reference levels from previous studies to generate noise contours*,* which are delineated on a map to show the DNL values. The overall noise model results are sensitive to horn noise, locomotive and rail car noise, train length, and train speed.

OEA incorporated digital terrain modeling as part of the advanced noise modeling techniques, using topographic contours. Because much of the terrain in the study area is steep and/or hilly, the shielding effects<sup>3</sup> of topography are an important aspect of modeling for this study area.

## **Construction Noise and Vibration Analysis Methods**

OEA used the Federal Transit Administration (FTA) general assessment method (2006) to evaluate noise impacts from rail construction. OEA based the construction noise impact assessment on FTA methods (2006), known as the General Assessment construction noise guidelines, shown in Table L-1.

 $1$  A-weighted decibel (dBA) is a measure of noise level used to compare noise from various sources. A-weighting approximates the frequency response of human hearing.

<sup>2</sup> Day-night average noise level (DNL or Ldn) is the energy average of dBA sound level over a 24-hour period; it includes a 10-decibel adjustment factor for noise between 10:00 p.m. and 7:00 a.m. to account for the greater sensitivity of most people to noise during the night. The effect of nighttime adjustment is that one nighttime event, such as a train passing by between 10:00 p.m. and 7:00 a.m., is equivalent to 10 similar events during the daytime. 3 Large obstacles, such as hills or intervening terrain, between a receptor and train noise source can cause acoustic shielding resulting in reduced noise levels. For example, if the line-of-sight between a noise source and receptor were completely blocked by an obstacle, a 5-dBA or more reduction in noise level would result.

OEA estimated the combined noise level for general construction equipment at the receptor nearest each Action Alternative and compared the noise level with the assessment criteria.

	1-hour $L_{eq}$ (dBA) <sup>a</sup>	
<b>Land Use</b>	Day	<b>Night</b>
Residential	90	80
Commercial	100	100
Industrial	100	100

<span id="page-5-0"></span>**Table L-1. Federal Transit Administration General Assessment Construction Noise Guidelines**

Notes:

 $L_{eq}$  = level equivalent;  $dBA = A$ -weighted decibels

OEA used the FTA General Assessment to evaluate construction noise because the details of the construction schedule for the proposed rail line are not yet known. The method calls for estimating combined noise levels from the two noisiest pieces of construction equipment and determining locations at which their operation would exceed the noise guidelines in Table L-2.

Construction vibration levels are estimated according to the following equation.

 $PPV_{\text{equipment}} = PPV_{\text{ref}} \times (25/D)1.5$ 

Where:

PPVequipment = The peak particle velocity in inches per second of the equipment adjusted for distance

 $PPV_{ref}$  = The reference vibration level in inches per second at 25 feet

D = The distance from the equipment to the receptor

Estimated construction vibration levels are then compared with the building damage criterion.

## **Rail Line Operation Noise Analysis Methods**

Railroad operation noise is composed of diesel locomotive engine and wheel/rail noise (collectively referred to as wayside noise) as well as locomotive warning horns sounding at at-grade rail/roadway crossings.

#### **Wayside Noise Models**

Wayside noise refers to all noise generated by rail cars and locomotives (but not including horn noise) and is primarily a function of train speed, train length, and number of locomotives. Based on information provided by the Coalition, OEA's noise analysis used a train composition of eight locomotives and trains with 113 cars. OEA assumed that each of the eight locomotives would be 76 feet long, rail cars would be 60 feet long, and the overall train length would be approximately 7,403 feet. Typical operating speed of the trains would be 15 miles per hour.

OEA used noise measurements from past noise studies (Board 1998a, 1998b) as the basis for the wayside noise level projections for the proposed rail line.

OEA used the following basic equation for the wayside noise model.

$$
SEL_{\text{cars}} = L_{\text{egref}} + 10\log(T_{\text{passby}}) + 30\log(S/S_{\text{ref}})
$$

OEA used the following equation for locomotives, which can be modeled as moving monopole point sources.

 $SEL<sub>locos</sub> = SEL<sub>ref</sub> + 10log(N<sub>locos</sub>) - 10log(S/S<sub>ref</sub>)$ 

OEA computed the total train sound exposure level by logarithmically adding SEL<sub>locos</sub> and SEL<sub>cars</sub>.

 $DNL_{100'} = SEL + 10log(N_d + 10*N_n) - 49.4$ 

 $DNL = DNL_{100'} + 15log(100/D)$ 

The  $10\log(x)$  term in the previous equations can be used to determine the increase (or decrease) in train noise level associated with changes in traffic volumes assuming that the other factors affecting noise (speed, train consist and length, time of day, and number of locomotives) are equivalent. The change in noise level associated with two different traffic volumes would be as follows.

Delta (dB) =  $10\log(N_2/N_1)$ 

Where:  $N_1$  and  $N_2$  are two different traffic volumes (trains/day)

For example, if rail traffic doubled, the increase in noise level would be 10log(2) = 3 decibels (dB).

Table L-2 lists the parameters that apply to the above equations.

<b>Parameter</b>	<b>Description</b>
SEL <sub>cars</sub>	Sound exposure level of railcars (dBA)
$L_{\text{eqref}}$	Level equivalent of railcar
T <sub>passby</sub>	Train passby time, in seconds
S	Train speed, in miles per hour
$S_{ref}$	Reference train speed
SEL <sub>locos</sub>	Sound exposure level of locomotive
$SEL_{ref}$	Reference sound exposure level of locomotive
<b>DNL</b>	Day-night average noise level
N <sub>locos</sub>	Number of locomotives
Nd	Number of trains during daytime
$N_n$	Number of trains during nighttime
D	Distance from tracks, in feet

<span id="page-6-0"></span>**Table L-2. Noise Parameters used in Equations**

Table L-3 shows the reference wayside noise levels OEA used in the analysis and Figure L-1 shows the wayside noise frequency spectrum used in the calculations.

<span id="page-7-0"></span>



Source: Board 1998a, 1998b

 $dBA = A$ -weighted decibels; SEL = sound exposure level; L<sub>eq</sub> = level equivalent



#### **Figure L-1. Wayside Noise Spectrum**

Source: Board 2002

#### **Horn Noise Models**

Freight train horn noise levels can vary for various reasons, including the manner in which an engineer sounds the horn. Consequently, it is important to determine horn noise reference levels based on a large sample size. OEA used data on horn noise compiled by the Federal Railroad Administration (FRA) (1999). A substantial amount of horn noise data are available from the *Draft Environmental Impact Statement, Proposed Rule for the Use of Locomotive Horns at Highway-Rail Grade Crossings* (FRA 1999), hereafter referred to as the 1999 FRA Draft EIS.

The FRA data indicate that horn noise levels increase from the point at which the horn is sounded at 0.25 mile from the grade crossing to when it stops sounding at the grade crossing. In the first 0.125 mile segment, the energy average sound exposure level measured at a distance of 100 feet from the tracks was found to be 107 dBA, and in the second 0.125-mile segment, found to be 110 dBA. The 1999 FRA Draft EIS simplified the horn noise contour shape as a five-sided polygon, when it is actually a teardrop shape. The *Final Environmental Impact Statement, Construction and Operation of a Rail Line from the Bayport Loop in Harris County, Texas* (Board 2003) discusses this subject in

detail. OEA used the more accurate teardrop contour shape for this analysis. The attenuation or drop-off rate of horn noise is assumed to be 4.5 dBA per doubling of distance away from the tracks (FRA 1999).

Table L-4 lists the reference horn noise levels OEA used in this analysis, and Figure L-2 shows the horn noise spectrum used in the calculations.

#### <span id="page-8-0"></span>**Table L-4. Reference Horn Noise Levels**



Notes:

dBA = A-weighted decibels; SEL = sound exposure level





Source: Board 2002

Source: FRA 1999

# **Rail Line Operation Vibration Analysis Methods**

OEA based the vibration assessment methods on FTA methods (FTA 2006). Vibration level due to train passbys is approximately proportional to:

 $V = 20 \times \log$  (speed/speed<sub>ref</sub>)

Where:

V = The ground-borne vibration velocity

Speed = The train speed

 $speed_{ref}$  = The reference speed of the train relative to its corresponding vibration level

OEA used this equation to adjust FTA's published ground-borne vibration levels for train speed and estimated vibration levels at receptor locations based on their distance from the proposed rail line.

There are two ground-vibration impacts of general concern: annoyance to humans and damage to



Peak particle velocity (PPV) is an instantaneous positive or negative peak of a vibration signal, measured as a distance per time.

Root-mean-square (RMS) velocity (VdB) is a measure of ground vibration in decibels used to compare vibration from various sources.

buildings. In special cases, activities that are highly sensitive to vibration, such as microelectronics fabrication facilities, are evaluated separately. Two measurements correspond to human annoyance and building damage for evaluating ground vibration: peak particle velocity (PPV) and root-mean square (RMS) velocity. PPV is the maximum instantaneous positive or negative peak of the vibration signal, measured as a distance per time (such as millimeters or inches per second). This measurement has been used historically to evaluate shock-wave-type vibrations from actions like blasting, pile driving, and mining activities, and their relationship to building damage. RMS velocity is an average, or smoothed, vibration amplitude, commonly measured over 1-second intervals. It is expressed on a log scale in decibels (VdB) referenced to 0.000001 x 10-6 inch per second, which is not to be confused with noise decibels. It is more suitable for addressing human annoyance and characterizing background vibration conditions because it better represents the response time of humans to ground vibration signals.

# **Mitigation Analysis**

Table L-5 shows the receptors in the study area that would be adversely affected by locomotive horn noise at grade crossings or by wayside noise. This distinction is important because there are different noise-reduction strategies for horn noise and wayside noise. The number of affected receptors is shown for the high rail traffic scenario<sup>4</sup> of  $10.52$  train passbys per day.

<sup>4</sup> The Coalition estimates that rail traffic on the proposed rail line could range from as few as 3.68 trains per day, on average (low rail traffic scenario), to as many as 10.52 trains per day, on average (high rail traffic scenario), depending on future market conditions, including future demand for crude oil produced in the Uinta Basin.



#### <span id="page-10-0"></span>**Table L-5. Receptors within the Project Study Area 65 DNL +3 dBA Contours**

All of the receptors in Table L-5 are within the wayside noise contour; therefore, horn noise mitigation strategies would not be necessary.

The following sections discuss various types of noise mitigation techniques that could be applied to the receptors listed in Table L-5.

#### **Building Sound Insulation**

Building sound insulation refers to improving the noise attenuation characteristics of a building envelope in order to reduce the intrusion of outdoor noise into the building. Sound insulation treatments usually involve improving the sound insulation characteristics of windows and doors, which is where noise usually enters a building.

To provide building sound insulation, windows and doors can be replaced with special acoustical windows and doors with high values for sound transmission classification. Split-system or central air conditioning may need to be installed so that windows do not need to be opened. Additional insulation can be provided by sealing or relocating vents and, in some cases, acoustically reinforcing walls and ceilings. Sound insulation of a building typically reduces the inside noise level by about 10 dB. Noise levels outside the structure are not affected.

Both wayside and horn noise can be mitigated by building sound insulation. However, the sound insulation requirements relative to the low frequency content of locomotive engine noise may be greater than that for horn noise.

Building sound insulation costs vary depending various factors, such as overall size of the building and the number of windows and doors. A recent survey of international airport sound insulation programs shows an average cost of \$40,000 per house. However, aircraft sound insulation strategies can differ from those implemented for rail projects. A recent Santa Clara Valley Transportation Authority transit project cited average insulation costs of \$26,000 per building.

#### **Wayside Noise Mitigation**

Wayside noise mitigation options include noise barriers and/or building sound insulation. Noise barriers can be effective when the barrier substantially blocks the line-of-sight between a receptor and train noise sources (wheel/rail interface, locomotive engine, and exhaust opening). Since train noise can pass over the top and around the ends of the barrier, both noise barrier height and length are factors in determining potential noise barrier performance.

In addition to its physical dimensions, the extent to which a noise barrier protects a certain number of residences is also important. For example, if a noise barrier's cost was substantially greater than the value of the protected residence(s), the barrier may not be cost-efficient. Utah Department of Transportation (UDOT) evaluates the cost effectiveness of noise barriers based on the following cost effectiveness index.

Cost Effectiveness Index =  $\frac{Total \, Barrier \, Cost}{dBA \times D.U.}$ 

Where dBA = average noise reduction of benefitted receptors (dBA)

D.U. = Number of benefitted receptors ( $\geq$  5 dBA improvement)

A typical planning value is \$35 per square foot to estimate the costs of noise barriers. The cutoff for determining barrier feasibility is a cost-effectiveness index of \$30,000 or less.

#### **Locomotive Warning Horn Mitigation**

Because locomotive warning horns are intentionally noisy to warn motorists of oncoming trains, reducing the noise level of warning horns is not an option. Noise barriers at grade crossings are generally not feasible because large openings are necessitated by cross streets. In addition, noise barriers create safety concerns because they can interfere with adequate sight lines between trains and motorists. Furthermore, locomotive horns are located high up on the locomotive, thus requiring very tall noise barriers to achieve noise-level reductions at receptor locations. As stated previously, building sound insulation can be employed to reduce horn noise inside of a building.

While some success in reducing noise has been found by replacing locomotive horn sounding with stationary warning horns at grade crossings (which generally have a smaller noise footprint than a locomotive horn), many communities have successfully reduced horn noise by implementing the FRA Quiet Zone program. FRA's final Train Horn Rule (9 C.F.R. Part 222) presents the requirements of a Quiet Zone and supplementary safety measures to mitigate the risks of not sounding train horns.

For the proposed rail line, locomotive horn noise would likely be audible in the project study area, but all of the receptors within the 65 DNL noise contour would be affected by wayside noise; therefore, OEA did not analyze mitigation for horn noise in the project study area.

### **Noise Barrier Analysis**

To demonstrate the feasibility of noise barriers for the proposed rail line, OEA used CADN/A® software to model a noise barrier along a certain portion of the Indian Canyon Alternative. Figure L-3 shows a noise barrier 155 meters long and 7.6 meters tall to reduce noise levels at receptor R11. It is evident from the noise contour that the barrier would reduce train noise levels at this location.





The modeled reduction in noise level (or "insertion loss") is 5.1 dBA. Assuming a \$35 per square foot cost, this noise barrier would cost approximately \$444,964. The cost/(dBA x dwelling units) would be \$87,248. One of the reasons that this cost is so high is because this barrier would only protect one receptor. This issue applies to all the receptors in Table L-5.

This example analysis shows that noise barriers may not be a reasonable and feasible option for the proposed rail line.

### **Downline Noise Analysis**

OEA used information on train composition, frequency, length, and speed provided by the Coalition for project-related rail traffic and information from multiple sources, as described in Appendix C, *Downline Analysis Study Area and Train Characteristics*, for rail traffic on the existing rail lines in the downline study area.

Using the equations in the previous sections, Table L-6 shows calculated increases in noise levels along existing downline rail lines. These increases are a function of existing and proposed rail line train volumes, speeds, and specific train composition. In general, noise level increases greater than 3 dBA would be noticeable depending on several factors including a receptor's proximity to the rail line.

#### <span id="page-13-0"></span>**Table L-6. Downline Rail Noise Analysis Results**















Notes:

<sup>a</sup> Counts include baseline transit and/or Amtrak.

dB = decibel; mph = miles per hour; UP = Union Pacific Railroad; RTDC = Regional Transportation District Commuter; DRIR = Denver Rock Island Railroad; BNSF = BNSF Railway; N/A = not applicable

## **Noise Contour Mapping**

Figure L-4, Figure L-5, and Figure L-6 show the modeled 65 DNL noise contours and +3 dBA contours for the entire study area for each of the Action Alternatives. The +3 dBA contours generally are large when ambient sound levels are low. Since ambient sound levels vary in the study area, the size of these contours also vary depending on local ambient sound measurement data.



**Figure L-4. Indian Canyon Alternative Noise Contours, Sheet Index**























































































#### **Figure L-6. Whitmore Park Alternative Noise Contours, Sheet Index**













































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## **Glossary**



